ST.JOSEPH’S COLLEGE FOR WOMEN (AUTONOMOUS) VISAKHAPATNAM

VI SEMESTER **B.B.A** TIME:5HRS/WEEK

BBA-A1-6101(4) **MERCHANTBANKINGANDFINANCIALSERVICES** MARKS:100

W.e.f 19-20 admitted batch (19AG) **SYLLABUS**

**OBJECTIVES:**

* To enable the students to understand the functions of merchant banker.
* Identify the pre issue and post issue activities and capital structure decisions

**MODULE I:** Merchant Banking-Meaning, Nature, Scope And Functions-SEBI Regulations-Registration Of Merchant Bankers.(case study)

**MODULE II:** Issue Management-Role Of Merchant Bankers In The Pre-Issue And Post Issue Activities-Underwriting Of Brokerage-Appointment And Remuneration of Underwriters And Brokers.(case study)

**MODULE III** Financial Services –Financial Services In India-Types And Importance-Online Trading-Dematerialization And Re-Materialization-Credit Rating –Meaning –Functions Of Debt Rating Agencies.(case study)

**MODULE IV** : Leasing And Factoring- Meaning –Importance- Types –Tax And Accounting Consideration-Lease Versus Buy Decisions –Factoring-Development Of Factoring-Procedural Aspects In Factoring-Financial Aspects.(case study)

**MODULE V:** Mutual Funds-Concept And Objectives-Various Types Of Mutual Fund Scheme-Advantages And Disadvantages Of Investing In Mutual Funds-Legal Structure And Regulation Of Mutual Funds In India.(case study)

**REFERENCE BOOKS:**

1. 1Madhu Vij, Swati Dhawan, Merchant banking and financial services , Tata MC Graw hill Publications,2011
2. Dr. S. Gurusamy, Merchant banking and financial services , Tata MC Graw hill publications,3rd Edition,2010
3. Dr K.Ravi Chandran, Merchant banking and financial services, Himalaya Publishing House,2011
4. 2Dr L.Natarajan, Merchant banking and financial services, Margham publications,2012
5. H.R. Machiraju, Merchant banking and financial services, New Age international publishers,4th edition
6. M Y Khan,Financial services- Tata MC Graw Hill Publishing Company,9th Edition

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